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Centralizers in endomorphism rings [☆]

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ABSTRACT

We prove that the centralizer $\text{Cen}(\varphi) \subseteq \text{End}_R(M)$ of a nilpotent endomorphism φ of a finitely generated semisimple left R -module ${}_R M$ (over an arbitrary ring R) is the homomorphic image of the opposite of a certain $Z(R)$ -subalgebra of the full $m \times m$ matrix algebra $M_m(R[z])$, where m is the dimension of $\ker(\varphi)$. If R is a local ring, then we give a complete characterization of $\text{Cen}(\varphi)$ and of the containment $\text{Cen}(\varphi) \subseteq \text{Cen}(\sigma)$, where σ is a not necessarily nilpotent element of $\text{End}_R(M)$. For a K -linear map A of a finite dimensional vector space over a field K we determine the PI-degree of $\text{Cen}(A)$.

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1. Introduction

If S is a ring (or algebra), then the centralizer $\text{Cen}(s) = \{u \in S \mid us = su\}$ of an element $s \in S$ is a subring (subalgebra) of S . The aim of this paper is to investigate the centralizer $\text{Cen}(\varphi)$ of an element φ in the endomorphism ring $\text{End}_R(M)$ of a left R -module ${}_R M$. In the case of finite dimensional vector spaces the study of $\text{Cen}(\varphi)$ can be reduced to the nilpotent case. Thus we focus only on the

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nilpotent endomorphisms of a finitely generated semisimple ${}_R M$. We note that most of our statements are generalizations of classical linear algebra results about commuting matrices (see [2,5,6,8]).

Following observations about the nilpotent Jordan normal base in Section 2 and other preliminary results in Section 3, we prove in Theorem 3.9 that $\text{Cen}(\varphi)$ is the homomorphic image of the opposite of a certain $Z(R)$ -subalgebra of the full $m \times m$ matrix algebra $M_m(R[z])$ over the polynomial ring $R[z]$, where m is the dimension of $\ker(\varphi)$. If R is a local ring, then in Theorem 3.11 we present $\text{Cen}(\varphi)$ as (the opposite of) a factor of a certain subalgebra of $M_m(R[z])$. The $Z(R)$ -dimension of $\text{Cen}(\varphi)$ is determined when R is local, $Z(R)$ is a field and $R/J(R)$ is finite dimensional over $Z(R)$.

If φ is a so-called indecomposable nilpotent element of $\text{End}_R(M)$, then the elements of $\text{Cen}(\varphi)$ are described in terms of an appropriate R -generating set of ${}_R M$ in Theorem 4.1. In particular, if R is commutative, then $\psi \in \text{Cen}(\varphi)$ if and only if ψ is a polynomial expression of φ . If R is a local ring, φ is nilpotent and σ is an arbitrary element of $\text{End}_R(M)$, then $\text{Cen}(\varphi) \subseteq \text{Cen}(\sigma)$ is equivalent to the existence of a certain R -generating set of ${}_R M$ (Theorem 4.3). In the commutative local case $\text{Cen}(\varphi) \subseteq \text{Cen}(\sigma)$ if and only if σ is a polynomial expression of φ .

For a nilpotent matrix $A \in M_n(K)$ (over a field K) the semisimple component of $\text{Cen}(A)$ is determined in Theorem 5.1. Our proof of Theorem 5.1 is based on the use of Theorem 3.11. If p is the maximum number of elementary Jordan matrices of the same size and with the same eigenvalue (of a not necessarily nilpotent A), then for the T-ideals of the identities we prove that $T(\text{Cen}(A)) \supseteq T(M_p(K))^q$ for a suitable q . Hence the PI-degree of $\text{Cen}(A)$ is equal to p .

Since all known results about matrix centralizers are closely connected with the Jordan normal form, it is not surprising that our development depends on the existence of the so-called nilpotent Jordan normal base of a semisimple module with respect to a given nilpotent endomorphism (the main theorem of [7]).

For a version of this paper containing more computational details see [1].

2. The nilpotent Jordan normal base

Throughout the paper a ring R means a (not necessarily commutative) ring with identity, $Z(R)$ and $J = J(R)$ denote the center and the Jacobson radical of R , respectively. Also, $M_m(R)$ and $R[z]$ denote the $m \times m$ matrix ring and the polynomial ring of the commuting indeterminate z , respectively. The ideal $(z^k) \triangleleft R[z]$ generated by z^k will be considered in the sequel, and $(z^0) = R[z]$.

A subset $X = \{x_{\gamma,i} \mid \gamma \in \Gamma, 1 \leq i \leq k_\gamma\}$ of a (unitary) left R -module ${}_R M$ is called a nilpotent Jordan normal base with respect to $\varphi \in \text{End}_R(M)$ if each R -submodule $Rx_{\gamma,i} \leq M$ is simple,

$$\bigoplus_{\gamma \in \Gamma, 1 \leq i \leq k_\gamma} Rx_{\gamma,i} = M$$

is a direct sum, $\varphi(x_{\gamma,i}) = x_{\gamma,i+1}$, $\varphi(x_{\gamma,k_\gamma}) = x_{\gamma,k_\gamma+1} = 0$ for all $\gamma \in \Gamma$, $1 \leq i \leq k_\gamma$, and the set $\{k_\gamma \mid \gamma \in \Gamma\}$ of integers is bounded (Γ is called the set of Jordan blocks and the size of the block $\gamma \in \Gamma$ is the integer k_γ). Obviously, the existence of a nilpotent Jordan normal base implies that ${}_R M$ is semisimple and φ is nilpotent with $\varphi^n = 0 \neq \varphi^{n-1}$, where $n = \max\{k_\gamma \mid \gamma \in \Gamma\}$. Clearly,

$$\text{im}(\varphi) = \bigoplus_{\gamma \in \Gamma', 2 \leq i \leq k_\gamma} Rx_{\gamma,i} \quad \text{and} \quad \ker(\varphi) = \bigoplus_{\gamma \in \Gamma} Rx_{\gamma,k_\gamma},$$

where $\Gamma' = \{\gamma \in \Gamma \mid k_\gamma \geq 2\}$. The following is one of the main results in [7].

2.1. Theorem. *For $\varphi \in \text{End}_R(M)$ the following are equivalent:*

1. ${}_R M$ is semisimple and φ is nilpotent.
2. There exists a nilpotent Jordan normal base of ${}_R M$ with respect to φ .

2.2. Proposition. Let $\varphi \in \text{End}_R(M)$ be nilpotent, with ${}_R M$ finitely generated semisimple. If $\{x_{\gamma,i} \mid \gamma \in \Gamma, 1 \leq i \leq k_{\gamma}\}$ and $\{y_{\delta,j} \mid \delta \in \Delta, 1 \leq j \leq l_{\delta}\}$ are nilpotent Jordan normal bases of ${}_R M$ with respect to φ , then there exists a bijection $\pi : \Gamma \rightarrow \Delta$ such that $k_{\gamma} = l_{\pi(\gamma)}$ for all $\gamma \in \Gamma$. Thus the sizes of the blocks of a nilpotent Jordan normal base are unique up to a permutation of the blocks.

Proof. We apply induction on the index of the nilpotency of φ . If $\varphi = 0$, then we have $k_{\gamma} = l_{\delta} = 1$ for all $\gamma \in \Gamma, \delta \in \Delta$, and $\bigoplus_{\gamma \in \Gamma} Rx_{\gamma,1} = \bigoplus_{\delta \in \Delta} Ry_{\delta,1} = M$ implies the existence of a bijection $\pi : \Gamma \rightarrow \Delta$ (Krull–Schmidt, Kurosh–Ore). Assume that our statement holds for any R -endomorphism $\phi : N \rightarrow N$ with ${}_R N$ a finitely generated semisimple left R -module and $\phi^{n-1} = 0 \neq \phi^{n-2}$. Consider the situation described in the proposition with $\varphi^n = 0 \neq \varphi^{n-1}$. Then

$$\text{im}(\varphi) = \bigoplus_{\gamma \in \Gamma', 2 \leq i \leq k_{\gamma}} Rx_{\gamma,i}$$

ensures that $\{x_{\gamma,i} \mid \gamma \in \Gamma', 2 \leq i \leq k_{\gamma}\}$ is a nilpotent Jordan normal base of the left R -submodule $\text{im}(\varphi)$ of ${}_R M$ with respect to the restricted R -endomorphism $\varphi : \text{im}(\varphi) \rightarrow \text{im}(\varphi)$. The same holds for $\{y_{\delta,j} \mid \delta \in \Delta', 2 \leq j \leq l_{\delta}\}$, where $\Delta' = \{\delta \in \Delta \mid l_{\delta} \geq 2\}$. Since $\phi^{n-1} = 0 \neq \phi^{n-2}$ for $\phi = \varphi \upharpoonright \text{im}(\varphi)$, our assumption gives a bijection $\pi : \Gamma' \rightarrow \Delta'$ such that $k_{\gamma} - 1 = l_{\pi(\gamma)} - 1$ for all $\gamma \in \Gamma'$. In view of $\ker(\varphi) = \bigoplus_{\gamma \in \Gamma} Rx_{\gamma,k_{\gamma}} = \bigoplus_{\delta \in \Delta} Ry_{\delta,l_{\delta}}$ we have $|\Gamma| = |\Delta|$ and so $|\Gamma \setminus \Gamma'| = |\Delta \setminus \Delta'|$. Thus we have a bijection $\pi^* : \Gamma \setminus \Gamma' \rightarrow \Delta \setminus \Delta'$ and the natural map $\pi \sqcup \pi^* : \Gamma' \cup (\Gamma \setminus \Gamma') \rightarrow \Delta' \cup (\Delta \setminus \Delta')$ is a bijection with the desired property. \square

We call a nilpotent element s of a ring S *decomposable* if $es = se$ for some idempotent $e \in S$ with $0 \neq e \neq 1$. A nilpotent element which is not decomposable is called *indecomposable*. In the case of finite dimensional vector spaces an indecomposable nilpotent endomorphism is nonderogatory (or 1-regular) in the sense of [4].

2.3. Proposition. Let $\varphi : M \rightarrow M$ be a non-zero nilpotent R -endomorphism of the semisimple left R -module ${}_R M$. Then the following are equivalent:

1. There is a nilpotent Jordan normal base $\{x_i \mid 1 \leq i \leq n\}$ of ${}_R M$ with respect to φ consisting of one block (thus $|\Gamma| = 1$ for any nilpotent Jordan normal base $\{x_{\gamma,i} \mid \gamma \in \Gamma, 1 \leq i \leq k_{\gamma}\}$ of ${}_R M$ with respect to φ).
2. φ is an indecomposable nilpotent element of the ring $\text{End}_R(M)$.
3. ${}_R M$ is finitely generated and $\varphi^{d-1} \neq 0$, where $d = \dim_R(M)$.

Proof. (1) \Leftrightarrow (3) is straightforward.

(1) \Rightarrow (2): If $\varepsilon \circ \varphi = \varphi \circ \varepsilon$ for some idempotent $\varepsilon \in \text{End}_R(M)$ with $0 \neq \varepsilon \neq 1$, then $\text{im}(\varepsilon) \oplus \text{im}(1 - \varepsilon) = M$ for the non-zero (semisimple) R -submodules $\text{im}(\varepsilon)$ and $\text{im}(1 - \varepsilon)$ of ${}_R M$, and $\varphi : \text{im}(\varepsilon) \rightarrow \text{im}(\varepsilon)$ and $\varphi : \text{im}(1 - \varepsilon) \rightarrow \text{im}(1 - \varepsilon)$. Since these restricted R -endomorphisms are nilpotent, we have nilpotent Jordan normal bases of $\text{im}(\varepsilon)$ and $\text{im}(1 - \varepsilon)$ with respect to $\varphi \upharpoonright \text{im}(\varepsilon)$ and $\varphi \upharpoonright \text{im}(1 - \varepsilon)$ respectively. The union of these two bases gives a nilpotent Jordan normal base of M with respect to φ consisting of more than one block, a contradiction (the direct sum property of the new base is a consequence of the modularity of the submodule lattice of ${}_R M$).

(2) \Rightarrow (1): Suppose we have a nilpotent Jordan normal base X of ${}_R M$ with respect to φ with $|\Gamma| \geq 2$. Fix $\delta \in \Gamma$ and consider the non-zero φ -invariant R -submodules

$$N'_{\delta} = \bigoplus_{1 \leq i \leq k_{\delta}} Rx_{\delta,i} \quad \text{and} \quad N''_{\delta} = \bigoplus_{\gamma \in \Gamma \setminus \{\delta\}, 1 \leq i \leq k_{\gamma}} Rx_{\gamma,i}.$$

Then $M = N'_{\delta} \oplus N''_{\delta}$ and for the natural projection ε_{δ} of M onto N'_{δ} we have $\varepsilon_{\delta} \circ \varepsilon_{\delta} = \varepsilon_{\delta}, 0 \neq \varepsilon_{\delta} \neq 1$ and $\varepsilon_{\delta} \circ \varphi = \varphi \circ \varepsilon_{\delta}$. \square

3. The centralizer of a nilpotent endomorphism

Note that $\varphi \in \text{End}_R(M)$ defines a natural left action $*$ of $R[z]$ on M providing a left $R[z]$ -module structure on M . Clearly, $\text{Cen}(\varphi) = \text{End}_{R[z]}(M)$ for the centralizer $Z(R)$ -subalgebra of $\text{End}_R(M)$.

Henceforth ${}_R M$ is semisimple and we consider a fixed nilpotent Jordan normal base

$$X = \{x_{\gamma,i} \mid \gamma \in \Gamma, 1 \leq i \leq k_\gamma\} \subseteq M$$

with respect to a given nilpotent $\varphi \in \text{End}_R(M)$ of index n .

The Γ -copower $\coprod_{\gamma \in \Gamma} R[z]$ is an ideal of the Γ -direct power ring $(R[z])^\Gamma$ comprising all elements $\mathbf{f} = (f_\gamma(z))_{\gamma \in \Gamma}$ with a finite set $\{\gamma \in \Gamma \mid f_\gamma(z) \neq 0\}$ of non-zero coordinates. The copower (power) has a natural $(R[z], R[z])$ -bimodule structure. If $f_\gamma(z) = a_{\gamma,1} + a_{\gamma,2}z + \dots + a_{\gamma,n_\gamma+1}z^{n_\gamma}$ then

$$\Phi(\mathbf{f}) = \sum_{\gamma \in \Gamma, 1 \leq i \leq k_\gamma} a_{\gamma,i} x_{\gamma,i} = \sum_{\gamma \in \Gamma} \left(\sum_{1 \leq i \leq k_\gamma} a_{\gamma,i} \varphi^{i-1}(x_{\gamma,1}) \right) = \sum_{\gamma \in \Gamma} f_\gamma(z) * x_{\gamma,1}$$

defines a left $R[z]$ -module homomorphism $\Phi : \coprod_{\gamma \in \Gamma} R[z] \rightarrow M$.

3.1. Proposition. *The function Φ is surjective, $\coprod_{\gamma \in \Gamma} (J[z] + (z^{k_\gamma})) \subseteq \ker(\Phi)$ and if R is local (R/J is a division ring), equality holds.*

Proof. The surjectivity of Φ and the containment $\coprod_{\gamma \in \Gamma} (J[z] + (z^{k_\gamma})) \subseteq \ker(\Phi)$ are clear. When R is local and $a_{\gamma,i} x_{\gamma,i} = 0$ for some $1 \leq i \leq k_\gamma$, then $a_{\gamma,i} \in J$. Thus $f_\gamma(z) = (a_{\gamma,1} + a_{\gamma,2}z + \dots + a_{\gamma,k_\gamma}z^{k_\gamma-1}) + (a_{\gamma,k_\gamma+1}z^{k_\gamma} + \dots + a_{\gamma,n_\gamma+1}z^{n_\gamma}) \in J[z] + (z^{k_\gamma})$ is a consequence of $\Phi(\mathbf{f}) = 0$, implying that $\mathbf{f} \in \coprod_{\gamma \in \Gamma} (J[z] + (z^{k_\gamma}))$. \square

From now onward we also require that ${}_R M$ be finitely generated, $\Gamma = \{1, 2, \dots, m\}$ and to ease readability we assume that $k_1 \geq k_2 \geq \dots \geq k_m \geq 1$ for the block sizes, in which case $\dim_R(M) = \sum_{\gamma \in \Gamma} k_\gamma$ and $\dim_R(\ker(\varphi)) = |\Gamma| = m$ for the dimensions (composition lengths). Now $\coprod_{\gamma \in \Gamma} R[z] = (R[z])^\Gamma$ and an element $\mathbf{f} = (f_\gamma(z))_{\gamma \in \Gamma}$ of $(R[z])^\Gamma$ is a $1 \times m$ matrix over $R[z]$. We define the following subsets of $M_m(R[z])$:

$$\begin{aligned} \mathcal{I}(X) &= \{ \mathbf{P} \in M_m(R[z]) \mid \mathbf{P} = [p_{\delta,\gamma}(z)] \text{ and } p_{\delta,\gamma}(z) \in J[z] + (z^{k_\gamma}) \text{ for all } \delta, \gamma \in \Gamma \} \\ &= \begin{bmatrix} J[z] + (z^{k_1}) & J[z] + (z^{k_2}) & \dots & J[z] + (z^{k_m}) \\ J[z] + (z^{k_1}) & J[z] + (z^{k_2}) & \dots & J[z] + (z^{k_m}) \\ \vdots & \vdots & \ddots & \vdots \\ J[z] + (z^{k_1}) & J[z] + (z^{k_2}) & \dots & J[z] + (z^{k_m}) \end{bmatrix}, \end{aligned}$$

$$\mathcal{N}(X) = \{ \mathbf{P} \in M_m(R[z]) \mid \mathbf{P} = [p_{\delta,\gamma}(z)] \text{ and } z^{k_\delta} p_{\delta,\gamma}(z) \in J[z] + (z^{k_\gamma}) \text{ for all } \delta, \gamma \in \Gamma \},$$

$$\mathcal{M}(X) = \{ \mathbf{P} \in M_m(R[z]) \mid \mathbf{fP} \in \ker(\Phi) \text{ for all } \mathbf{f} \in \ker(\Phi) \}.$$

Note that $\mathcal{I}(X)$ and $\mathcal{N}(X)$ are $(R[z], R[z])$ -sub-bimodules of $M_m(R[z])$ in a natural way. For $\delta, \gamma \in \Gamma$ let $k_{\delta,\gamma} = k_\gamma - k_\delta$ when $1 \leq k_\delta < k_\gamma \leq n$ and $k_{\delta,\gamma} = 0$ otherwise.

3.2. Remark. It can be verified that the condition $z^{k_\delta} p_{\delta,\gamma}(z) \in J[z] + (z^{k_\gamma})$ in the definition of $\mathcal{N}(X)$ is equivalent to $p_{\delta,\gamma}(z) \in J[z] + (z^{k_{\delta,\gamma}})$, and so

$$\mathcal{N}(X) = \begin{bmatrix} R[z] & R[z] & R[z] & \cdots & R[z] \\ J[z] + (z^{k_1-k_2}) & R[z] & R[z] & \cdots & R[z] \\ J[z] + (z^{k_1-k_3}) & J[z] + (z^{k_2-k_3}) & R[z] & \cdots & R[z] \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ J[z] + (z^{k_1-k_m}) & J[z] + (z^{k_2-k_m}) & J[z] + (z^{k_3-k_m}) & \cdots & R[z] \end{bmatrix}.$$

3.3. Lemma. $\mathcal{I}(X) \triangleleft_l M_m(R[z])$ is a left ideal, $\mathcal{N}(X) \subseteq M_m(R[z])$ is a subring, $\mathcal{I}(X) \triangleleft \mathcal{N}(X)$ is an ideal and $\mathcal{M}(X)$ is a $Z(R)$ -subalgebra of $M_m(R[z])$. If R is a local ring, then $\mathcal{N}(X) = \mathcal{M}(X)$.

Proof. Since the γ -th column of the matrices in $\mathcal{I}(X)$ comes from a (left) ideal $J[z] + (z^{k_\gamma})$ of $R[z]$, we can see that $\mathcal{I}(X)$ is a left ideal.

If $\mathbf{P}, \mathbf{Q} \in \mathcal{N}(X)$, then we have $p_{\delta,\tau}(z) \in J[z] + (z^{k_{\delta,\tau}})$ and $q_{\tau,\gamma}(z) \in J[z] + (z^{k_{\tau,\gamma}})$. Thus $k_{\delta,\tau} + k_{\tau,\gamma} \geq k_{\delta,\gamma}$ implies that $p_{\delta,\tau}(z)q_{\tau,\gamma}(z) \in J[z] + (z^{k_{\delta,\gamma}})$. It follows that $\mathbf{PQ} \in \mathcal{N}(X)$ proving that $\mathcal{N}(X)$ is a subring.

If $\mathbf{P} \in \mathcal{I}(X)$ and $\mathbf{Q} \in \mathcal{N}(X)$, then we have $p_{\delta,\tau}(z) \in J[z] + (z^{k_\tau})$ and $q_{\tau,\gamma}(z) \in J[z] + (z^{k_{\tau,\gamma}})$. Since $k_\tau + k_{\tau,\gamma} \geq k_\gamma$, it follows that $p_{\delta,\tau}(z)q_{\tau,\gamma}(z) \in J[z] + (z^{k_\gamma})$. Thus $\mathbf{PQ} \in \mathcal{I}(X)$ and $\mathcal{I}(X)$ is an ideal of $\mathcal{N}(X)$.

If $\mathbf{P}, \mathbf{Q} \in \mathcal{M}(X)$ and $\mathbf{f} \in \ker(\Phi)$, then $\mathbf{fP} \in \ker(\Phi)$ implies that $\Phi(\mathbf{fPQ}) = \Phi((\mathbf{fP})\mathbf{Q}) = 0$, whence $\mathbf{fPQ} \in \ker(\Phi)$ follows. Thus $\mathbf{PQ} \in \mathcal{M}(X)$, proving that $\mathcal{M}(X)$ is a $Z(R)$ -subalgebra of $M_m(R[z])$.

If R is a local ring, then Proposition 3.1 gives that $\ker(\Phi) = \bigsqcup_{\gamma \in \Gamma} (J[z] + (z^{k_\gamma}))$. Now $\mathbf{e}_\delta \in \ker(\Phi)$, where \mathbf{e}_δ denotes the vector with z^{k_δ} in its δ -coordinate and zeros in all other places. If $\mathbf{P} \in \mathcal{M}(X)$, then $\mathbf{e}_\delta \mathbf{P} \in \ker(\Phi)$ implies that $z^{k_\delta} p_{\delta,\gamma}(z) \in J[z] + (z^{k_\gamma})$, whence $\mathbf{P} \in \mathcal{N}(X)$ follows. If $\mathbf{P} \in \mathcal{N}(X)$ and $\mathbf{f} \in \ker(\Phi)$, then $p_{\delta,\gamma}(z) \in J[z] + (z^{k_{\delta,\gamma}})$ and $f_\delta(z) \in J[z] + (z^{k_\delta})$. Thus $k_\delta + k_{\delta,\gamma} \geq k_\gamma$ implies that $f_\delta(z)p_{\delta,\gamma}(z) \in J[z] + (z^{k_\gamma})$, whence $\mathbf{fP} \in \ker(\Phi)$ and $\mathbf{P} \in \mathcal{M}(X)$ follows. \square

3.4. Lemma. If the center $Z(R)$ of the ring R is a field such that R/J is finite dimensional over $Z(R)$, then

$$\dim_{Z(R)}(\mathcal{N}(X)/\mathcal{I}(X)) = [R/J : Z(R)] \cdot (k_1 + 3k_2 + \cdots + (2m - 1)k_m).$$

Proof. Any $Z(R)$ -base of R/J naturally leads to a $Z(R)$ -base of $\mathcal{N}(X)/\mathcal{I}(X)$, and so the claim is obvious from the above matrix forms of $\mathcal{I}(X)$ and $\mathcal{N}(X)$. \square

The assumption $k_1 \geq k_2 \geq \cdots \geq k_m \geq 1$ ensures that

$$\mathcal{U}(X) = \{U \in M_m(R/J) \mid U = [u_{\delta,\gamma}] \text{ and } u_{\delta,\gamma} = 0 \text{ if } 1 \leq k_\delta < k_\gamma\}$$

is a block upper triangular subalgebra of $M_m(R/J)$. The T-ideal of the identities of $\mathcal{U}(X)$ is described in [3]. We note that, if $k_1 > k_2 > \cdots > k_m \geq 1$, then

$$\mathcal{U}(X) = \begin{bmatrix} R/J & R/J & \cdots & R/J \\ 0 & R/J & \ddots & \vdots \\ \vdots & \ddots & \ddots & R/J \\ 0 & \cdots & 0 & R/J \end{bmatrix}$$

is an upper triangular matrix algebra.

3.5. Lemma. There is a natural ring isomorphism

$$\mathcal{N}(X)/(\mathcal{N}(X) \cap zM_m(R[z])) + \mathcal{I}(X) \cong \mathcal{U}(X)$$

which is an (R, R) -bimodule isomorphism at the same time.

Proof. If $\mathbf{P} = [p_{\delta,\gamma}(z)]$ is in $\mathcal{N}(X)$, then it is straightforward to see that there exists a matrix $[w_{\delta,\gamma}]$ in $M_m(R) \cap \mathcal{N}(X)$ such that

$$\mathbf{P} + ((\mathcal{N}(X) \cap zM_m(R[z])) + \mathcal{I}(X)) = [w_{\delta,\gamma}] + ((\mathcal{N}(X) \cap zM_m(R[z])) + \mathcal{I}(X))$$

holds in $\mathcal{N}(X)/(\mathcal{N}(X) \cap zM_m(R[z])) + \mathcal{I}(X)$. The assignment

$$\mathbf{P} + ((\mathcal{N}(X) \cap zM_m(R[z])) + \mathcal{I}(X)) \longmapsto [w_{\delta,\gamma} + J]$$

is well defined and gives the required isomorphism. \square

3.6. Lemma. For $\mathbf{P} \in \mathcal{M}(X)$ and $\mathbf{f} = (f_\gamma(z))_{\gamma \in \Gamma}$ in $(R[z])^\Gamma$ the formula $\psi_{\mathbf{P}}(\Phi(\mathbf{f})) = \Phi(\mathbf{fP})$ properly defines an R -endomorphism $\psi_{\mathbf{P}} : M \rightarrow M$ of ${}_R M$ such that $\psi_{\mathbf{P}} \circ \varphi = \varphi \circ \psi_{\mathbf{P}}$. The assignment $\Lambda(\mathbf{P}) = \psi_{\mathbf{P}}$ gives a homomorphism $\mathcal{M}(X)^{\text{op}} \rightarrow \text{Cen}(\varphi)$ of $Z(R)$ -algebras.

Proof. Using the definition of $\mathcal{M}(X)$ and the surjectivity of Φ it is straightforward to check the claims. \square

3.7. Lemma. $\mathcal{I}(X) \subseteq \ker(\Lambda)$, and if R is local, then the equality holds.

Proof. The containment is clear. If R is a local ring and $\mathbf{P} \in \ker(\Lambda)$, then $\Lambda(\mathbf{P}) = \psi_{\mathbf{P}} = 0$ implies that $\psi_{\mathbf{P}}(\Phi(\mathbf{f})) = \Phi(\mathbf{fP}) = 0$ for all $\mathbf{f} \in (R[z])^\Gamma$. If $\mathbf{1}_\delta$ denotes the vector in $(R[z])^\Gamma$ with 1 in its δ -coordinate and zeros in all other places, then $\mathbf{1}_\delta \mathbf{P} \in \ker(\Phi)$ and Proposition 3.1 gives that $p_{\delta,\gamma}(z) \in J[z] + (z^{k_\gamma})$. \square

3.8. Lemma. If $\psi \circ \varphi = \varphi \circ \psi$ for some $\psi \in \text{End}_R(M)$, then there is a $\mathbf{P} \in \mathcal{M}(X)$ such that $\psi(\Phi(\mathbf{f})) = \Phi(\mathbf{fP})$ for all $\mathbf{f} = (f_\gamma(z))_{\gamma \in \Gamma}$ in $(R[z])^\Gamma$.

Proof. Since $\Phi : (R[z])^\Gamma \rightarrow M$ is surjective, for each $\delta \in \Gamma$ we can find an element $\mathbf{p}_\delta = (p_{\delta,\gamma}(z))_{\gamma \in \Gamma}$ in $(R[z])^\Gamma$ such that $\Phi(\mathbf{p}_\delta) = \psi(x_{\delta,1})$. For the $m \times m$ matrix $\mathbf{P} = [p_{\delta,\gamma}(z)]$ we have

$$\begin{aligned} \psi(\Phi(\mathbf{f})) &= \sum_{\delta \in \Gamma} \psi(f_\delta(z) * x_{\delta,1}) = \sum_{\delta \in \Gamma} f_\delta(z) * \psi(x_{\delta,1}) = \sum_{\delta \in \Gamma} f_\delta(z) * \Phi(\mathbf{p}_\delta) \\ &= \sum_{\delta \in \Gamma} \Phi(f_\delta(z)\mathbf{p}_\delta) = \Phi\left(\sum_{\delta \in \Gamma} f_\delta(z)\mathbf{p}_\delta\right) = \Phi(\mathbf{fP}) \end{aligned}$$

for all $\mathbf{f} \in (R[z])^\Gamma$. Since $\mathbf{f} \in \ker(\Phi)$ implies that $\Phi(\mathbf{fP}) = \psi(\Phi(\mathbf{f})) = 0$, we obtain that $\mathbf{P} \in \mathcal{M}(X)$. \square

3.9. Theorem. $\Lambda : \mathcal{M}(X)^{\text{op}} \rightarrow \text{Cen}(\varphi)$ is a surjective homomorphism of $Z(R)$ -algebras.

Proof. The claim directly follows from Lemma 3.6 and Lemma 3.8. \square

3.10. Corollary. $\text{Cen}(\varphi)$ satisfies all the polynomial identities (with coefficients in $Z(R)$) of $M_m^{\text{op}}(R[z])$.

3.11. Theorem. If R is a local ring, then $\text{Cen}(\varphi)$ is isomorphic to the opposite of the factor $\mathcal{N}(X)/\mathcal{I}(X)$ as $Z(R)$ -algebras:

$$\text{Cen}(\varphi) \cong (\mathcal{N}(X)/\mathcal{I}(X))^{\text{op}} = \mathcal{N}^{\text{op}}(X)/\mathcal{I}(X).$$

If $f_i = 0$ are polynomial identities of the $Z(R)$ -subalgebra $\mathcal{U}^{\text{op}}(X)$ of $M_m^{\text{op}}(R/J)$ with $f_i \in Z(R)\langle x_1, \dots, x_r \rangle$, $1 \leq i \leq n$, then $f_1 f_2 \cdots f_n = 0$ is an identity of $\text{Cen}(\varphi)$.

Proof. Theorem 3.9 ensures that $\text{Cen}(\varphi) \cong \mathcal{M}(X)^{\text{op}}/\ker(\Lambda)$ as $Z(R)$ -algebras. In order to prove the desired isomorphism, it suffices to note that for a local ring R we have $\mathcal{M}(X) = \mathcal{N}(X)$ and $\ker(\Lambda) = \mathcal{I}(X)$ by Lemmas 3.3 and 3.7 respectively. Thus

$$L = ((\mathcal{N}(X) \cap zM_m(R[z])) + \mathcal{I}(X))/\mathcal{I}(X) \triangleleft \mathcal{N}(X)/\mathcal{I}(X)$$

can be viewed as an ideal of $\text{Cen}(\varphi)$. The use of Lemma 3.5 gives

$$\text{Cen}(\varphi)/L \cong (\mathcal{N}^{\text{op}}(X)/\mathcal{I}(X))/L \cong \mathcal{N}^{\text{op}}(X)/(\mathcal{N}(X) \cap zM_m(R[z]) + \mathcal{I}(X)) \cong \mathcal{U}^{\text{op}}(X).$$

It follows that $f_i = 0$ is an identity of $\text{Cen}(\varphi)/L$. Thus $f_i(v_1, \dots, v_r) \in L$ for all $v_1, \dots, v_r \in \text{Cen}(\varphi)$, and so $f_1(v_1, \dots, v_r) f_2(v_1, \dots, v_r) \cdots f_n(v_1, \dots, v_r) \in L^n$. Since $(zM_m(R[z]))^n \subseteq \mathcal{I}(X)$ implies that $L^n = \{0\}$, the proof is complete.

3.12. Corollary. If R is a local ring such that $Z(R)$ is a field and R/J is finite dimensional over $Z(R)$, then

$$\dim_{Z(R)}(\text{Cen}(\varphi)) = [R/J : Z(R)](k_1 + 3k_2 + \cdots + (2m - 1)k_m).$$

Proof. Since $\dim_{Z(R)}(\mathcal{N}(X)/\mathcal{I}(X))^{\text{op}} = \dim_{Z(R)}(\mathcal{N}(X)/\mathcal{I}(X))$, the result follows from Lemma 3.4. \square

4. Further properties of the centralizers

4.1. Theorem. Let φ be an indecomposable (nilpotent) element of $\text{End}_R(M)$. Then $\psi \in \text{Cen}(\varphi)$ if and only if there is an R -generating set $\{y_j \in M \mid 1 \leq j \leq d\}$ of ${}_R M$ and elements a_1, a_2, \dots, a_n in R such that

$$\begin{aligned} a_1 y_j + a_2 \varphi(y_j) + \cdots + a_n \varphi^{n-1}(y_j) &= \psi(y_j) \quad \text{and} \\ a_1 \varphi(y_j) + a_2 \varphi(\varphi(y_j)) + \cdots + a_n \varphi^{n-1}(\varphi(y_j)) &= \psi(\varphi(y_j)) \end{aligned}$$

for all $1 \leq j \leq d$.

Proof. If $\psi \in \text{Cen}(\varphi)$, then the first identity implies the second one. Proposition 2.3 ensures the existence of a nilpotent Jordan normal base $\{x_i \mid 1 \leq i \leq n\}$ of ${}_R M$ with respect to φ consisting of one block. Clearly, $\bigoplus_{1 \leq i \leq n} Rx_i = M$ implies that $\psi(x_1) = a_1 x_1 + a_2 x_2 + \cdots + a_n x_n = a_1 x_1 + a_2 \varphi(x_1) + \cdots + a_n \varphi^{n-1}(x_1)$ for some $a_1, a_2, \dots, a_n \in R$. Thus $\psi(x_i) = \psi(\varphi^{i-1}(x_1)) = \varphi^{i-1}(\psi(x_1)) = \varphi^{i-1}(a_1 x_1 + a_2 \varphi(x_1) + \cdots + a_n \varphi^{n-1}(x_1)) = a_1 \varphi^{i-1}(x_1) + a_2 \varphi(\varphi^{i-1}(x_1)) + \cdots + a_n \varphi^{n-1}(\varphi^{i-1}(x_1)) = a_1 x_i + a_2 \varphi(x_i) + \cdots + a_n \varphi^{n-1}(x_i)$ for all $1 \leq i \leq n$.

Conversely, we have $\varphi(\psi(y_j)) = \varphi(a_1 y_j + a_2 \varphi(y_j) + \cdots + a_n \varphi^{n-1}(y_j)) = a_1 \varphi(y_j) + a_2 \varphi(\varphi(y_j)) + \cdots + a_n \varphi^{n-1}(\varphi(y_j)) = \psi(\varphi(y_j))$ for all $1 \leq j \leq d$. Thus $\varphi \circ \psi = \psi \circ \varphi$. \square

4.2. Corollary. If in addition R is commutative, then $\psi \in \text{Cen}(\varphi)$ if and only if there are $a_1, a_2, \dots, a_n \in R$ such that $a_1 u + a_2 \varphi(u) + \cdots + a_n \varphi^{n-1}(u) = \psi(u)$ for all $u \in M$, in other words, ψ is a polynomial of φ .

4.3. Theorem. Let R be a local ring and $\sigma \in \text{End}_R(M)$. Then $\text{Cen}(\varphi) \subseteq \text{Cen}(\sigma)$ if and only if there is an R -generating set $\{y_j \in M \mid 1 \leq j \leq d\}$ of ${}_R M$ and there are elements a_1, a_2, \dots, a_n in R such that

$$a_1 \psi(y_j) + a_2 \varphi(\psi(y_j)) + \cdots + a_n \varphi^{n-1}(\psi(y_j)) = \sigma(\psi(y_j))$$

for all $1 \leq j \leq d$ and all $\psi \in \text{Cen}(\varphi)$.

Proof. If $\text{Cen}(\varphi) \subseteq \text{Cen}(\sigma)$, then $a_1 y_j + a_2 \varphi(y_j) + \dots + a_n \varphi^{n-1}(y_j) = \sigma(y_j)$ implies that $a_1 \psi(y_j) + a_2 \varphi(\psi(y_j)) + \dots + a_n \varphi^{n-1}(\psi(y_j)) = \sigma(\psi(y_j))$ for all $\psi \in \text{Cen}(\varphi)$. For any $\delta \in \Gamma$ we have $\varepsilon_\delta \in \text{Cen}(\varphi) \subseteq \text{Cen}(\sigma)$, where $\varepsilon_\delta : M \rightarrow N'_\delta$ is the natural projection corresponding to the direct sum $M = N'_\delta \oplus N''_\delta$, with

$$N'_\delta = \bigoplus_{1 \leq i \leq k_\delta} R x_{\delta,i} \quad \text{and} \quad N''_\delta = \bigoplus_{\gamma \in \Gamma \setminus \{\delta\}, 1 \leq i \leq k_\gamma} R x_{\gamma,i}.$$

Thus $\sigma : \text{im}(\varepsilon_\delta) \rightarrow \text{im}(\varepsilon_\delta)$ and so $\sigma(x_{\delta,1}) = \sum_{1 \leq i \leq k_\delta} a_{\delta,i} x_{\delta,i} = h_\delta(z) * x_{\delta,1}$ for some $h_\delta(z) = a_{\delta,1} + a_{\delta,2}z + \dots + a_{\delta,k_\delta} z^{k_\delta-1}$ in $R[z]$. Since $\varphi \in \text{Cen}(\sigma)$ implies that $\sigma \in \text{Cen}(\varphi)$, it follows that $\sigma(\Phi(\mathbf{f})) = \sum_{\gamma \in \Gamma} \sigma(f_\gamma(z) * x_{\gamma,1}) = \sum_{\gamma \in \Gamma} f_\gamma(z) * \sigma(x_{\gamma,1}) = \sum_{\gamma \in \Gamma} f_\gamma(z) * (h_\gamma(z) * x_{\gamma,1}) = \sum_{\gamma \in \Gamma} (f_\gamma(z) h_\gamma(z)) * x_{\gamma,1} = \Phi(\mathbf{fH})$, where $\mathbf{f} \in (R[z])^\Gamma$ and $\mathbf{H} = \sum_{\gamma \in \Gamma} h_\gamma(z) \mathbf{E}_{\gamma,\gamma}$ is a diagonal matrix in $\mathcal{M}(X)$ ($\mathbf{H} \in \mathcal{M}(X)$ is a consequence of $\sigma(\Phi(\mathbf{f})) = \Phi(\mathbf{fH})$). By Theorem 3.9, the containment $\text{Cen}(\varphi) \subseteq \text{Cen}(\sigma)$ is equivalent to the condition that $\sigma \circ \psi_{\mathbf{P}} = \psi_{\mathbf{P}} \circ \sigma$ for all $\mathbf{P} \in \mathcal{M}(X)$. As a consequence, we obtain that $\text{Cen}(\varphi) \subseteq \text{Cen}(\sigma)$ is equivalent to $\Phi(\mathbf{fPH}) = \sigma(\Phi(\mathbf{fP})) = \sigma(\psi_{\mathbf{P}}(\Phi(\mathbf{f}))) = \psi_{\mathbf{P}}(\sigma(\Phi(\mathbf{f}))) = \psi_{\mathbf{P}}(\Phi(\mathbf{fH})) = \Phi(\mathbf{fHP})$ for all $\mathbf{f} \in (R[z])^\Gamma$ and $\mathbf{P} \in \mathcal{M}(X)$. Thus $\text{Cen}(\varphi) \subseteq \text{Cen}(\sigma)$ implies $\Phi(\mathbf{f(PH - HP)}) = 0$ or $\mathbf{f(PH - HP)} \in \ker(\Phi)$. Take $\mathbf{e} = (1)_{\gamma \in \Gamma}$ and $\mathbf{E}_{1,\delta} \in \mathcal{N}(X)$ by Remark 3.2. Then the δ -coordinate of $\mathbf{e}(\mathbf{E}_{1,\delta} \mathbf{H} - \mathbf{H} \mathbf{E}_{1,\delta}) = (h_\delta(z) - h_1(z)) \mathbf{e} \mathbf{E}_{1,\delta} \in \ker(\Phi)$ is $h_\delta(z) - h_1(z)$. Since R is local, $\mathbf{P} = \mathbf{E}_{1,\delta} \in \mathcal{M}(X)$ by the last part of Lemma 3.3. Now Proposition 3.1 gives that $h_\delta(z) - h_1(z) \in J[z] + (z^{k_\delta})$. Thus $\sigma(x_{\delta,1}) = h_\delta(z) * x_{\delta,1} = h_1(z) * x_{\delta,1}$ for all $\delta \in \Gamma$. It follows that $\sigma(x_{\gamma,i}) = \sigma(\varphi^{i-1}(x_{\gamma,1})) = \varphi^{i-1}(\sigma(x_{\gamma,1})) = \varphi^{i-1}(h_1(z) * x_{\gamma,1}) = h_1(z) * \varphi^{i-1}(x_{\gamma,1}) = h_1(z) * x_{\gamma,i} = a_1 x_{\gamma,i} + a_2 \varphi(x_{\gamma,i}) + \dots + a_n \varphi^{n-1}(x_{\gamma,i})$, where $h_1(z) = a_1 + a_2 z + \dots + a_n z^{n-1}$.

Conversely, $1_M \in \text{Cen}(\varphi)$ gives $a_1 y_j + a_2 \varphi(y_j) + \dots + a_n \varphi^{n-1}(y_j) = \sigma(y_j)$ for all $1 \leq j \leq d$. Then $\psi(\sigma(y_j)) = a_1 \psi(y_j) + a_2 \varphi(\psi(y_j)) + \dots + a_n \varphi^{n-1}(\psi(y_j)) = \sigma(\psi(y_j))$ for all $\psi \in \text{Cen}(\varphi)$ and $1 \leq j \leq d$. Thus $\psi \circ \sigma = \sigma \circ \psi$ and so $\text{Cen}(\varphi) \subseteq \text{Cen}(\sigma)$. \square

4.4. Corollary. *If in addition R is commutative, then $\text{Cen}(\varphi) \subseteq \text{Cen}(\sigma)$ if and only if there are $a_1, a_2, \dots, a_n \in R$ such that $a_1 u + a_2 \varphi(u) + \dots + a_n \varphi^{n-1}(u) = \sigma(u)$ for all $u \in M$, in other words, σ is a polynomial of φ .*

4.5. Remark. Since $\text{Cen}(\varphi) \subseteq \text{Cen}(\sigma)$ is equivalent to $\sigma \in \text{Cen}(\text{Cen}(\varphi))$, we may consider Theorem 4.3 as some kind of double centralizer theorem.

5. The centralizer of an arbitrary linear map

If K is an algebraically closed field and $\{\lambda_1, \lambda_2, \dots, \lambda_r\}$ is the set of all eigenvalues of $A \in M_n(K)$, then $\text{Cen}(A)$ is isomorphic to the direct product of the centralizers $\text{Cen}(A_i)$, where A_i denotes the block diagonal matrix consisting of all Jordan blocks of A having eigenvalue λ_i in the diagonal. The number of the diagonal blocks in A_i is $\dim(\ker(A_i - \lambda_i I_i))$, and the size of A_i is $d_i \times d_i$, where d_i is the multiplicity of the root λ_i in the characteristic polynomial of A . Since $\text{Cen}(A_i) = \text{Cen}(A_i - \lambda_i I_i)$ and $A_i - \lambda_i I_i$ is nilpotent in $M_{d_i}(K)$, we shall consider the case of a nilpotent matrix.

5.1. Theorem. *If $A \in M_d(K)$ is nilpotent of index n , then $\text{Cen}(A)/J(\text{Cen}(A)) \cong M_{q_1}(K) \oplus \dots \oplus M_{q_n}(K)$, where q_e is the number of elementary Jordan matrices of size $e \times e$ and $M_{q_e}(K) = \{0\}$ if $q_e = 0$. The index of nilpotency of $J(\text{Cen}(A))$ is bounded from above by nv , where v is the number of different sizes.*

Proof. Now $A \in \text{End}_K(K^d)$ has a nilpotent Jordan normal base X in K^d with block sizes $n = k_1 \geq k_2 \geq \dots \geq k_m \geq 1$, and Theorem 3.11 gives an isomorphism $\text{Cen}(A) \cong \mathcal{N}^{\text{op}}(X)/\mathcal{I}(X)$ of K -algebras. Let $T_i = K[z]/(z^{k_i})$, and to minimize the “noise” in the matrix below, we use z instead of $z + (z^{k_i})$ in T_i for the K -algebra

$$\mathcal{C}_A = \begin{bmatrix} T_1 & z^{k_1-k_2}T_1 & \cdots & \cdots & z^{k_1-k_m}T_1 \\ T_2 & T_2 & z^{k_2-k_3}T_2 & \cdots & z^{k_2-k_m}T_2 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ T_{m-1} & T_{m-1} & \cdots & T_{m-1} & z^{k_{m-1}-k_m}T_{m-1} \\ T_m & T_m & \cdots & T_m & T_m \end{bmatrix}.$$

Thus the map $\mathbf{P} + \mathcal{I}(X) \mapsto [p_{i,j}(z) + (z^{k_j})]^\top$ is well defined and provides an $\mathcal{N}^{\text{op}}(X)/\mathcal{I}(X) \rightarrow \mathcal{C}_A$ isomorphism of K -algebras, where $\mathbf{P} = [p_{i,j}(z)]$ is in $\mathcal{N}(X)$ and $^\top$ denotes the transpose. Recall that the Jacobson radical of a finite dimensional algebra is equal to the maximal nilpotent ideal of the algebra. The $K[z]$ -module

$$\mathcal{T}_A = \begin{bmatrix} T_1 & T_1 & \cdots & T_1 \\ T_2 & T_2 & \cdots & T_2 \\ \vdots & \vdots & \ddots & \vdots \\ T_m & T_m & \cdots & T_m \end{bmatrix}$$

satisfies $z^{k_1}\mathcal{T}_A = \{0\}$. The intersection $I = z\mathcal{T}_A \cap \mathcal{C}_A$ is an ideal of \mathcal{C}_A and $I^n = I^{k_1} = \{0\}$, thus $I \subseteq J(\mathcal{C}_A)$. We obtain that \mathcal{C}_A/I is a lower block triangular matrix algebra with diagonal blocks of size $q_{t_1} \times q_{t_1}, q_{t_2} \times q_{t_2}, \dots, q_{t_v} \times q_{t_v}$, where $k_1 = t_1 > t_2 > \dots > t_v = k_m \geq 1$ are the different block sizes (the strictly decreasing sequence of the different k_i 's) appearing in X . The strictly lower triangular part

$$\begin{bmatrix} 0 & 0 & \cdots & 0 \\ M_{q_{t_2} \times q_{t_1}}(K) & 0 & \cdots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ M_{q_{t_v} \times q_{t_1}}(K) & \cdots & M_{q_{t_v} \times q_{t_{v-1}}}(K) & 0 \end{bmatrix}$$

of \mathcal{C}_A/I is nilpotent of index v and is equal to the radical of \mathcal{C}_A/I . Consequently, $(J(\mathcal{C}_A)^v)^n \subseteq I^n = \{0\}$ and the index of nilpotency of $J(\mathcal{C}_A)$ is bounded by nv . Clearly, $\mathcal{C}_A/J(\mathcal{C}_A) \cong M_{q_{t_1}}(K) \oplus \dots \oplus M_{q_{t_v}}(K)$. \square

Note that the form of the centralizer \mathcal{C}_A in Theorem 5.1 is a classically known object that can be found, for instance, in [2, Chapter VIII, §2, pp. 220–224] or in [8]. Hence Theorem 5.1 could have been observed without the results of this paper, even if it is a by-product of our general approach.

Recall that the PI-degree $\text{PIdeg}(S)$ of a PI-algebra S is equal to the maximum p such that the multilinear polynomial identities of S follow from the multilinear polynomial identities of $M_p(K)$.

5.2. Corollary. *Let A be an $n \times n$ matrix over an algebraically closed field K and let p be the maximum number of equal elementary Jordan matrices in the canonical Jordan form of A over the algebraic closure of K . Then $\text{PIdeg}(\text{Cen}(A)) = p$.*

Proof. For a finite dimensional K -algebra S with Jacobson radical J the PI-degree of S is equal to the maximal size of the matrix subalgebras of S/J . Applying Theorem 5.1 one completes the proof. \square

5.3. Remark. Corollary 5.2 holds for all fields. If K is not algebraically closed, then a detailed argument in [1] shows how the algebraic closure of K can be used.

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